

Revolution Capital, Inc. Notice in Case of Emergency

Every business must prepare for the possibility of disasters and events that could disrupt the regular course of business. Revolution Capital has developed this business continuity plan in the event of such a disruption.

How to contact us in an emergency

Revolution Capital is based in Cambridge with advisors and staff who work remotely. In the event of an emergency event, you should first check our website at <http://revolutioncapital.net>. You may also reach any advisor or staff person directly by phone or email.

Accessing your accounts

If you cannot reach us within a reasonable period through either of these means, you should contact the custodian with whom your accounts are held for assistance with accessing account information. All clients are provided with login usernames and passwords during the account creation process.

Recovering from an emergency

To protect all necessary business documents and client data and to resume the course of business as quickly as possible after an emergency, Revolution Capital uses Microsoft Business Services as a cloud-based storage systems provider, and Wealthbox, a client relations manager for the financial sector. Both firms automatically back up all data to separate servers.

In the event of an emergency in the Boston area, our advisors can access stored client data from any location with an internet connection. If we do have such an emergency, we will contact you as swiftly as possible with information about the extent and anticipated duration of the service disruption and of alternatives for accessing your accounts directly.

In addition to our own storage procedures, our custodians back up important client records and maintain client accounts independently. Our firm contracts with the following custodians to maintain client accounts: Altruist, TD Ameritrade, Shareholder Services Group (the firm is currently phasing out a relationship with Goldman Sachs Custody Solutions/ formerly Folio). Each custodian has its own business continuity plan.

For more information...

If you have questions about our business continuity planning, please contact us.